# **Measurement Methods and Standards for Processing and Application of Thermal Barrier Coatings**

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**Application of thermal barrier coatings deposited by thermal spray, physical vapor and possibly other methods is expected to be extended from aircraft gas turbines to industrial and utility gas turbines as well as diesel engines. This increased usage implies the participation of greater numbers of processors and users, making the availability of standards for process control and property measurement more important. In this paper, available standards specifically for processing and evaluation of thermal barrier coatings are identified as well as those generally applicable to coatings and those needed but unavailable.** 

**Keywords** measurement methods, standards, thermal barrier coatings [

# **1. Introduction\***

THERMAL BARRIER COATINGS (TBCs) based on stabilized zirconia have been applied to aircraft gas turbines for several years, with early application on eombustor components extended to airfoils. The increased system thermodynamic efficiency due to higher operating temperatures allowed by these coatings, as well as extended substrate lifetimes due to lower metal temperatures, has warranted consideration for non-aircraft turbine as well as diesel engine applications. The increased commerce in coating services and the number of coated parts customers expected for these new applications implies a greater need for standardized methods of describing and controlling processing conditions and measurement of coating properties.

Commerce between material or coating suppliers and component manufacturers is conducted by reference to specifications. Specifications are instituted to address two primary concerns: (a) cost, which is driven by process reproducibility (manifested as scrap) or reject rate and materials utilization (manifested as waste); and (b) performance, which is driven by predictable properties and optimized design. Standard test methods (such as for mechanical properties) and analytical techniques (such as for chemical or microstructural analysis) are, or can be, used for determining compliance to specifications. In applications where coatings are an integral design element of structural components, increased precision in control of the coating deposition process and more accurate measurement of coating properties are desirable, raising further the importance of standard test and analysis methods.

Standard test and analysis methods often rely on, orare based on, reference materials with well-characterized properties that

are relevant to specific measurements. It is also important that standard test methods and standard reference materials be appropriate to the accuracy, speed requirements, instrumentation, and skill capabilities of the intended users. Therefore, development of standards and reference materials is best conducted through the consensus and participation of interested users. It is important that standards or reference materials be developed with a sufficient understanding of the phenomenon or property of interest to ensure that the limits of applicability be defined.

The value of standardized measurement methods for coatings has been recognized in the United States by the Department of Defense (DOD), primarily for plasma-sprayed or detonation gun coatings. In addition to military specifications, Society of Automotive Engineers (SAE) and American Society for Testing and Materials (ASTM) consensus standards have been developed, primarily for wear-resistant plasma-sprayed coatings. Standards developed in Great Britain (British Standards Institute, BSI), Germany (Deutsches Institut fur Normung, DIN), and Japan (Japanese Institute of Standards, JIS) primarily address thin coatings not intended for thermal barrier application. They largely focus on measurement of physical characteristics such as thickness and qualitative measures of adhesion. The increased interest in coatings of all types has fostered a Committee for European Normalization (CEN) effort to develop standard measurement methods for coatings: Working Group 5, Ceramic Coatings, under Technical Committee 184, Advanced Technical Ceramics. It may be expected that these national and regional standards will be incorporated into International Standards Organization standards eventually.

In the long term, the use of materials designed to take advantage of intrinsic microstructural refinements, such as functionally graded and nanolayered coatings, will require the development of characterization and measurement standards that are now experimental techniques.

# **2. Relevant Measurements**

The efficacy of TBCs depends on two major factors: adhesion to metallic substrates and the thermal insulation provided by the ceramic. The primary means of TBC deposition, plasma spray and physical vapor deposition (PVD), create anisotropic

<sup>\*</sup>Identification of trade names and products in this paper does not imply that the products are necessarily the best for the purpose or that they are recommended by the National Insitute of Standards and Technology (NIST).

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materials that have a low elastic modulus due to the presence of widely distributed microcracks or porosity. This low modulus allows compliance with the subslrate during dimensional changes caused by mechanical or thermal loading. Long-term operability of TBCs depends on adherence of the stabilized zirconia topcoat to a metallic undercoat (bond coat) applied to the structural component. The importance of adhesion has encouraged researchers to identify the causes of spallation and to develop models using thermal, mechanical, and chemical properties to predict coating behavior. Studies (Ref i-3) have identified or utilized several key properties that influence coating lifetime defined by spallation resistance: coefficient of thermal expansion, thermal conductivity, tensile strength, creep, elastic modulus, Poisson's ratio, and the growth and nature of the oxide layer on the undercoat at the top coat (zirconia) interface. In these studies, properties were generally measured on freestanding materials removed from substrates but processed to provide microstructures similar to those in relevant coatings.

Processing parameter measurement and control is critical to reproducible, cost-effective coating deposition. Typical process parameters controlled for both plasma spray and PVD include power supplied, feedstock chemistry and homogeneity, spacial relationship of feedstock source to substrate, feed rate of coating material, substrate temperature and, for PVD, relevant specie partial pressure in the deposition chamber. Control of these parameters influences deposition rate and efficiency as well as microstructural features such as porosity, microcracking, dendrite or splat size, chemistry and phase content, and thermal conductivity.

# **3. Standard Measurement Techniques**

### **3.1** *Processing*

Procedures for the deposition of coatings are generally developed by coating purchasers in cooperation with coating processors and constitute valuable proprietary knowledge. Although not standards in themselves, these procedures can utilize standard analysis and measurement methods to ensure that both parties agree on the values of particular specified process parameters. An example might be a standard powder size distribution measurement method to ensure that commercial specifications for limits are not exceeded. The American Welding Society (AWS) and the American National Standards Institute (ANSI) have developed the "Guide for Thermal-Spray Operator Qualification," ANSUAWS C2.16-92, which sets forth recommended thermal spray operator qualification procedures. It covers applicable documents relating to thermal spray equipment, consumables, and safety.

Standards for processing that focus on the characterization and measurement of properties appropriate for plasma spray feedstock have been developed through the ASTM and SAE. Standards and specifications from AWS, SAE, and DOD are listed in Table 1. An expanded description of the ASTM standards that can provide guidance for TBC processing and property measurement is provided in Table 2. ASTM standards that provide a useful basis for analysis of plasma spray feedstock, in particular, have been developed by the metals, ceramics, and petroleum industries and comprise the majority of standards listed in Table 2. Many of these standards address metals and may be applicable to plasma-sprayed bond coats. Standard procedures for chemical and phase content analysis for stabilized zirconia are lacking. NIST makes available standard reference materials (SRMs) used to calibrate instruments that measure feedstock powder size and size distribution. These are intended for use in sieving and in measuring light and electrical pore flow through counters, optical and electron microscopes, and sedigraphs. SRM 1982, yttria-stabilized, zirconia, is specifically intended for calibration of light scattering measurement of TBC feedstock particle size distribution. Table 3 identifies several SRMs suitable for the measurement of powder size distribution.

Standard procedures for the analysis of thermal spray, PVD, or chemical vapor deposition (CVD) process parameters are unavailable. However, commercial systems have been developed for monitoring of several thermal spray process parameters, such as particle velocity and spatial distribution (Ref 4, 5). These systems could conceivably be related to standard calibration procedures. Techniques for analysis of vapor composition in PVD and CVD processes could also be calibrated to known gaseous compositions via reference materials.

#### **Table 1 Available standards for coatings**

#### **Processing**

**American N ationai Standards Institute/American Welding Society**  550 N.W. LeJeune Rd.

P.O. Box 351040 Miami, FL 33135 Tel: (305) 443-9353



#### **Society of Automotive Engineers (SAE)**

400 Commonwealth Dr. Warrendale, PA 15096-0001 Tel: (412) 776-4841 Fax: (412) 776-5760



# **Table 1 Available standards for coatings (continued)**



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(continued)



Table 2 Expanded description of ASTM standards (continued)



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## 3.2 *Mechanical Properties*

The durability of TBCs depends on their mechanical properties. These properties, particularly as a function of temperature, are the subject of considerable research but their measurement has not been widely standardized. Coating adhesion to the substrate (e.g., zirconia adhesion to the metallic bond coat) is the primary measure of suitability for application. Cohesive strength is also important, but less investigated. Methods for the qualitative evaluation of adhesion, such as flexure, scratch, or impact testing, have been developed for less complex materials such as zinc coatings. These are of limited value but have been included in Tables 1 and 2. The most commonly used adhesion test is ASTM C 633, "Standard Test Method for Adhesion or Cohesive Strength of Flame-Sprayed Coatings (Ref 6, 7), which is similar to DIN 50 160-A and JIS H8666-80. This technique is limited by the use of epoxy adhesive grip attachment to test temperatures significantly lower than application temperatures.

Brown et al. (Ref 8) have reviewed methods used to measure adherence of coatings applied by thermal spray, including flexure and fracture mechanics techniques, and conclude that widely used tests do not provide information required and that simulation of service conditions is vital. Beardsley (Ref 9) has addressed the mechanical behavior of coatings intended for diesel applications and developed techniques for the evaluation of compression-tension fatigue on plasma-sprayed coatings removed from substrates. These techniques have not been developed as standards.

Recognition of the importance of more subtle properties, such as fracture toughness, thermal shock response, and thermomechanical fatigue, has been manifested in research on the modeling of coating behavior (Ref 10). However, standards for the measurement of these properties are not available. The availability of standard, broadly accepted methods for the measurement of coating properties would enhance the development of TBCs by allowing comparisons from one organization to another.

Hardness is commonly used as a process control measure. Its application to coatings has been recognized in the development of BS 5411-part 6, a standard on measuring Vickers and Knoop microhardness for metallic coatings. Fracture mechanics analysis can be combined with microindentation to measure the fracture behavior of ceramic coatings (Ref 11). However, this more sophisticated analytical technique has not been developed as a standard test method.

Significant research has been conducted on the use of scratch techniques to evaluate the adhesion of thin coatings (Ref 12). Although not particularly applicable for thick coatings, the ease of this technique to evaluate coating/substrate systems offers potential for study of the much thinner coatings now being developed.

#### 3.3 *Oxidation and Hot Corrosion*

Significant effort has been directed to evaluating the hightemperature oxidation and hot-corrosion behavior of TBCs for gas turbine applications. Laboratory tests such as static furnace and high- and low-velocity burner rig exposure (Ref 13) have been related to coating behavior in specific engine environments by varying parameters, such as temperature, time, cycling rate, or corrodent levels. Specimen geometry and test conditions vary among manufacturers, but over time they have been used to compare material behavior, allowing a degree of performance prediction. Extensive databases on coating behavior have been developed by individual manufacturers. Because these databases represent large investments and because these evaluations are so closely related to applications in competitive markets, development of a standard test methodology is unlikely.

## 3,4 *Erosion Behavior*

The erosion resistance of coatings for gas turbine applications has been the topic of research for many years. Like corrosion evaluation, this testing is intended to simulate performance in an engine, and the development of standard test methodologies representative of gas turbine or diesel condition is unlikely. ASTM G 76, "Standard Practice for Conducting Erosion Tests by Solid Particle Impact Using Gas Jets," has been developed for low-velocity, low-temperature evaluation. It does not provide appropriate information for TBC operating conditions.

#### 3.5 *Thermal Properties*

The measurement of thermal conductivity or diffusivity and the coefficient of thermal expansion are obviously important to TBC design and performance prediction. Measurement of these properties over the temperature range of application (900 to  $1200 \degree C$ ) is required, because extrapolation from room temperature introduces inaccuracies. Thermal diffusivity, which can be related to thermal conductivity by multiplying by the product of density and heat capacity per unit mass, is often measured for bulk materials and freestanding coatings by the laser flash technique. ASTM E 1461 addresses the use of flash methods for measurement of bulk materials to 223 °C and could be extended to coated materials. ASTM C 177 proscribes the more complex guarded hotplate method, which is generally regarded as the most accurate measure of conductivity and is used to 800 °C. Accurate calculation of conductivity from diffusivity requires the use of density and heat capacity values at the temperature of interest, which are not often available. ASTM C 177, like ASTM E 1461, may be extended to coatings. More importantly, the relationship of less expensive and less labor-intensive methods to the more sophisticated method is worth establishing.

Techniques such as the thermal comparator method (Ref 14, 15) for conductivity measurement were developed because of concerns about the apparently low thermal conductivity of thin films used in electronic and laser optic applications, as well as concerns about the adverse effects that poor heat dissipation would have on device performance. These methods have the potential to be developed into standard measurement techniques and may be suitable for thin TBCs in particular.

Thermal barrier coatings are anisotropic whether applied by thermal spray or PVD. Broadly accepted consistent descriptions are not available for microstructural features such as splat or PVD column dimension and size distributions. Accurate measurement of these microscopic features, as well as of macroscopic features such as thickness, is necessary for the specification of coatings. Optical metallographic preparation and analysis is skill dependent, and standard reference materials with known characteristics would aid in interpretation. The difficulty with consistent metallographic preparation has fostered

**Table 3 Standard reference materials (SRMs) for powder size measurement** 

<b>SRM</b>	<b>Material</b>	Particle size, µm	Intended method
114	Portland cement	$0.2 - 50(a)$	Air permeability
1003 <sub>b</sub>	Glass	10-60	Sieve
1004a	Glass	40-170	Sieve
1017Ь	<b>Glass</b>	100-310 nominal	Sieve
1018b	Glass	225-780 nominal	Sieve
1019a	<b>Glass</b>	760-2160	Sieve
1978	Zirconium oxide	0.33-2.19	X-ray sedigraph
1982	Zirconium oxide	10-150	Light scattering

(a) Specified for reference material in ASTM C 721 for conversion from specific surface area to particle size by air permeability analysis. Source: National Institute of Standards and Technology

the development of an industry-led program to identify appropriate specimen preparation techniques (Ref 16, 17). These studies should reduce the variability of observed microstructures and potentially lead to consensus-based standard preparation techniques.

Standard test methods have been developed for other applications and may be useful in characterizing TBCs. Currently, ASTM C 577, "Standard Test Method for Permeability of Refractories," is used to measure connected porosity by means of the Brunauer-Emmett-Teller gas absorption method. However, this method does not allow for size or orientation analysis, nor does it address the analysis of closed porosity.

Microstructural design to achieve specific thermal and mechanical properties is expected to gain interest as techniques of modeling coating behavior evolve. These models require measurement of properties at levels commensurate with elements in the models. Methods of measurement for this scale (less than 10  $\mu$ m) are limited. Measurement by x-ray and neutron scattering of details such as porosity, crack size, crack distribution, and crack orientation provide valuable data for modeling. Measurement of elastic modulus and cohesive strength by the use of instrumented indentation offers similar promise, although microscopic measurements provide an intrinsic material property that may be unrepresentative of the coating system.

# **4. Summary**

Increased commerce in TBCs is expected due to the improved performance and component life they provide to both gas turbines and diesel engines. This increased commerce, reflected in greater numbers of suppliers and users, will necessitate the use of broadly accepted methods for analysis and characterization of processing parameters and coatings. Standard measurement techniques and standard reference materials suitable for the analysis of properties are not available for TBCs specifically. Conventional TBCs processed by thermal spray and PVD, particularly low-conductivity thin film coatings, require examination as coated systems that include substrates in order to properly analyze critical interfacial strength and thermal effects. Available property measurement standards and reference materials are in some cases applicable to coatings or can be extended to coatings.

Improved methods of measuring adhesion, cohesion, and thermal properties for conventional coatings are necessary. In the long term, the development and application of nanolayered and functionally graded coatings will require techniques for measuring properties on scales characteristic of the microstructural features of these materials.

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